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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/612103
Filing Date	3-18-2001
First Named Inventor	Bhagavatula et al
Art Unit	2874
Examiner Name	PRASAD, CHANDRIKA
Attorney Docket Number	SP00-095

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 03-3325. I have enclosed a duplicate copy of this sheet.
- a. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Signature		SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
Name (Print/Type)	Timothy M. Scheebert	Date	
		Registration No.	34,424

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
Signature	<i>[Signature]</i>
Name (Print/Type)	Shahar M. Ramesh
Date	8/17/05

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9189 and select option 2.

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PAGE 2/9 \* RCVD AT 8/17/2005 9:56:36 AM [Eastern Daylight Time] \* SVR:USPTO-EFXXRF-5/25 \* DNIS:7464000 \* CSID:6079743848 \* DURATION (mm:ss):03:00

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**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND											
1 Date of Request: <u>8/22/05</u>		2 Serial/Patent # <u>09/812,108</u>									
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED	6 AMOUNT							
	Filing			\$							
	Amendment			\$							
	Extension of Time			\$							
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<input checked="" type="checkbox"/>	Petition <u>1464</u>			\$ <u>130.</u>							
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	Cert of Correction/Terminal Disc.			\$							
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8 TO BE REFUNDED BY:											
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<input checked="" type="checkbox"/>	Duplicate Payment	9 <table border="1" style="display: inline-table; text-align: center; width: 150px;"><tr><td>0</td><td>3</td><td>--</td><td>3</td><td>3</td><td>2</td><td>5</td></tr></table>			0	3	--	3	3	2	5
0	3	--	3	3	2	5					
	No Fee Due (Explanation):										
11 REFUND REQUESTED BY:											
TYPED/PRINTED NAME: <u>IRVIN DINGIE</u>		TITLE: <u>PAATC/M</u>									
SIGNATURE: <u>[Signature]</u>		PHONE: <u>(571) 272-3210</u>									
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APPROVED: <u>[Signature]</u>		DATE: <u>8/23/05</u>									

*Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:*

SP00-095

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bhagavatula, et al

Serial No: 09/812,108

Examiner: Prasad, Chandrika

Filed: March 19, 2001

Group Art Unit: 2874

For: OPTICAL WAVEGUIDE LENS AND  
METHOD OF FABRICATION

Petition Under 37 C.F.R. § 1.313

Mail Stop Amendment  
Commissioner of Patents  
Alexandria, VA 22313-1450Petition to Withdraw Application from Issuance  
Under 37 C.F.R. § 1.313 and Petition to Refund Issue Fee

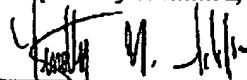
Sir:

Applicant hereby files this Petition to withdraw the above-identified patent application from issue under 37 C.F.R. § 1.313(c)(2). A notice of allowance for the above-identified patent application was mailed on 1/22/05, and Applicant has paid the issue fee on 4/13/05. The record we have indicates a patent has not been issued yet for this application.

A request for continued examination of the application in compliance with 37 C.F.R. § 1.114 is being filed herewith. A new information disclosure statement constituting the submission under 37 C.F.R. § 1.114 required for the request for continued examination is being filed herewith as well. It is respectfully requested the application be withdrawn from issue and a examination of the application be re-opened.

Please charge the fees (\$130.00), required for this Petition and any additional fees, and credit any overpayments and/or refunds, and specifically the refund of the original issue fee paid 4/13/05, to the deposit account of the firm of the undersigned, 03-3325. Please direct any inquiry to the undersigned at (607) 974-3164.

Respectfully submitted,

  
Timothy M. Schaeberle  
Registration No. 34,424  
Corning Incorporated  
SP-TI-03-1  
Corning, NY, 14831  
607-974-3164  
Date: 8/17/05

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date of Deposit

Jennifer Richtmyer

Name of applicant, assignee, or  
Registered Representative

Signature

Date of Signature

## Certificate of Facsimile

I hereby certify that this paper and/or fee are being facsimiled to the Commissioner of Patents, at 703-746-4000 on 8/17/05

(Date)

Signature

Jennifer M. Richtmyer

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02 FC:1464 130.00 DA

Revision: January 3, 2005

PAGE 3/9 \* RCVD AT 8/17/2005 9:56:36 AM [Eastern Daylight Time] \* SVR:USPTO-EFXXF-5/25 \* DNIS:7464000 \* CSID:6079743848 \* DURATION (mm:ss):03:00

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